NHS National Institute for Health Research

## **The art of compromise** Co-production of evidence in applied health research

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## What can you expect?

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### **Our experience**

- Reference to a range of academic sources
- Reference to research we have What is co-production? What is co-production? Have you done it (or why done

notl



## Your experience

- You can expect to talk
- and have the chance to identify actions

··· Which are the most

challenging?



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## coproduce or co-produce

✓ Research ✓ Evidence

Implementation

verb (used with object), coproduced, coproducing

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1.
```

```
to produce (a motion picture, play, etc.) in collaboration with others.
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2.

to manufacture (goods) in partnership with others.

What is co-production?

## When? At all stages!

- Deciding priorities
- Designing research
- Working together in delivery
- Joint dissemination

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Reversing the pipeline? Implementing public health evidence-based guidance in english local government

Lou Atkins,<sup>II</sup> Michael P. Kelly,<sup>2</sup> Clare Littleford,<sup>1</sup> Gillian Leng,<sup>3</sup> and Susan Michie<sup>1</sup>



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## What is co-production?



- **Participatory Action Research** (Baum et al 2006): cycles of collective inquiry with community members to identify problems and empower participants to take action
- Integrated Knowledge Translation (Kothari et al 2017, CIHR): active collaboration with knowledge users (who have power to implement change) throughout the process
- Experience Based Co-Design (Bate & Robert 2006, Kings Fund, Point of Care foundation): patients and staff work together to identify and deliver service improvements
- NIHR CLAHRCs: models of matched funding between research and NHS partners (eg. trusts, CCGs)









## Have you done co-production? Why (or why not)?

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Knowing MIND THE GAP

## Researchers

- Answer research questions
- Explore the unknown
- Publish papers
- Assessed on the number and quality of papers
- Rigorous data collection and analysis take a lot of time
- Evaluation and improvement are not 'proper research'



## Practitioners

- Solve practical issues
  - Interested in 'what works'
- Write reports/patient records
- Assessed on patient outcomes and financial efficiency of services
  - Under pressure to deliver 'quick wins'

• Evaluation and improvement are seen as valuable activities



## **CLOSE THE GAP**

## Why?

- Researchers are now expected to deliver 'societal impact'
- Healthcare organisations are expected
  - to use 'evidence'
  - to contribute to research

## Individual roles

• Knowledge brokers

By whom?

- Facilitators
- Hybrid clinicianresearchers
- Collaborative research partnerships/networks

## **'PUSH'**

- Finished research product (evidence) is 'implemented' in practice
- Implementation science: Identifying best approaches to implementing evidence-based innovation



## **'PULL'**

- Practitioners look at existing evidence to address practical problems
- Quality improvement: Using evidence from research (and other sources!) to improve the outcomes of service provision

## 'PUSH'

• There is often no demand at all



- Research evidence still has to be adapted to local context...
- ...But there is a fine line between 'adaptation' and

'distortion'

or 'dilution'

## PROBLEMS





## **'PULL'**

- Evidence needed may not be available
- Practitioners may lack skills searching, appraising and synthesising evidence
- Research evidence still has to be adapted to local context...
- ...But there is a risk of its 'substitution' by the competing forms of evidence:
  - local data
  - anecdotal evidence



## **Exchange**?





## **Co-production!**





#### Researchers

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## There is a dark side to everything...

## collaboration

/kəlabəˈreɪʃn/ ●

noun

noun: collaboration; plural noun: collaborations

- the action of working with someone to produce something.
   "he wrote a book in collaboration with his son" synonyms: cooperation, alliance, partnership, participation, combination, association, concert; More
  - something produced in collaboration with someone.
     "his recent opera was a collaboration with Lessing"
- 2. traitorous cooperation with an enemy.

"he faces charges of collaboration" synonyms: fraternizing, fraternization, colluding, collusion, cooperating, cooperation, consorting, sympathizing, sympathy; conspiring "Salengro had been accused of collaboration with the enemy" antonyms: resistance



AND

DEMAND

**EVIDENCE** 

#### Matched funding of the collaborative research programme

#### 50% from the National Institute of Health Research (government agency)



50% from the local healthcare organisations ('partners') – both in cash and in kind

#### Neither 'push' nor 'pull'

...[The researchers] had already done some work on chronic kidney disease ... and it gelled with what we were looking at, it was one of our priority areas anyway. So I don't think it was all them pushing and it was a new thing for us or us saying, hey will you give us a hand with this? I think it just coincided at the same [time]...

Shared passion for the topic —

Mutual commitment

**Collaborative working** 

**Co-production** 

Applied research
Implementation

**Societal impact** 







## Moving beyond 'research' to 'implementation' and 'improvement'

### What do practitioners value?

- 'applied healthcare research... relevant and real and something that can be used... easily'
- 'evaluation and evaluation support... service development...
   service improvement'
- 'other forms of outputs... films and other media'
- *'events*... that aren't necessarily directly related to our research'

### What can researchers do?

- Collect 'local intelligence'
- Frame the collaborative project for practitioners using their language and priorities
- Disguise 'research' as an 'addon' to:
  - implementation,
  - evaluation or
  - improvement



## Opening up the 'research team'

### What do practitioners value?

- 'meeting face to face and trying to understand our intentions'
- 'I feel like an equal partner... what we can and do say is given credence'
- 'harder evidence as well as the more qualitative and anecdotal type feedback'
- 'very good project managers' who 'have kept us to all our timelines'

## What can researchers do?

- Include the representatives of partnering organisations when:
  - choosing the topic
  - designing the project
  - implementing the project
  - analysing the data
  - working on the outputs
- Multidisciplinary project teams

COMPROMIS

YOUR WA

MY WAY

- quant and qual experts
- clinical and social scientists
- project managers
- QI experts
- service users

## Flexibility in designing and conducting research

## What do practitioners value?

- 'having a really good understanding of the services that you're working with'
- 'a study that would... take into consideration [contextual] subtleties in a very variable, flexible way'
- 'a study that is... **doable**... without it being burdensome on either the staff or managers'
- '[researchers] being very open to feedback'

## What can researchers do?

- Prioritise pragmatic (rather than purist) designs...
- ...And implementable (rather than ideal) interventions
- Research opportunities may arise unexpectedly, e.g.:
  - research into the *processes* of implementation/improvement
  - retrospective analyses of existing data







# Which of these compromises do you find most challenging?

- Moving beyond 'research' to implementation/improvement
- Opening up the research team
- Flexibility in designing and conducting research

## What are the implications?

## Lots of (different) work!

- Two sets of project descriptions (academic and non-academic), tailored to local priorities (needs soft intelligence)
- Offering the partners several research design options to choose from
- Various non-research activities, as a way of building relationships:
  - Contribute to education/training
  - Give advice on practical issues
  - Jointly organise events
- Continuously keeping in touch with the partners and other stakeholders
- Multiple project outputs (academic papers are not enough!)



## What are the implications?

## Non-research roles to support co-production

- Not all researchers are interested in (or competent at) non-research activities
- But it is essential
- "just" managers?
- Dedicated project managers often become a driving force in enabling compromise
  - They embody the collaborative agenda
  - Their core task is to make co-production work
  - They act as knowledge brokers



## What are the implications?

## Fundamental worldview change

- 'Researchers do not always know best'
- Consider the full developmentevaluation-implementation process
- Epistemological and methodological tolerance
- Complementarity and division of labour (rather than competition and conflict)
  - between researchers and practitioners
  - between researchers and project managers
  - between different academic disciplines



## There is a dark side to everything...



## compromise /ˈkɒmprəmʌɪz/ �

noun

noun: compromise; plural noun: compromises

- an agreement or settlement of a dispute that is reached by each side making concessions. "eventually they reached a compromise" synonyms: agreement, understanding, settlement, terms, accommodation; More antonyms: intransigence
  - an intermediate state between conflicting alternatives reached by mutual concession.
     "a compromise between the freedom of the individual and the need to ensure orderly government"
- the expedient acceptance of standards that are lower than is desirable. "sexism should be tackled without compromise"



## The 'dark side' of compromise in co-production of applied research

Research driven by \_\_\_\_\_ practical need rather than academic novelty

The need to diversify . project outputs

Ad-hoc research – designs

For senior researchers coproduction projects are part of a wider portfolio

...But have to implement it -

Difficulties producing highlyranked academic outputs

> Early-career researchers are most vulnerable to the negative consequences of compromise

Threats to researchers' autonomy and integrity The partnering organisations may dispute the interpretation of research findings Researchers may

self-censor themselves when presenting sensitive findings



## Conclusion

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# What are your key action points from today?